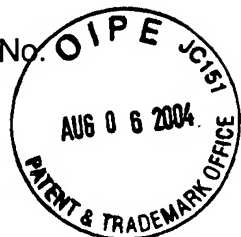


In re Application of: BRENDAN ROBERTS, et al.

Serial No. 10/709,477

Filed: MAY 7, 2004

For: **METHODS AND SYSTEMS FOR REHABILITATING AND RETRAINING THE NECK MUSCULATURE**

Mail Stop Fee Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450

PRELIMINARY AMENDMENT TRANSMITTAL LETTER

Sir:

Transmitted herewith is an amendment in the above-identified application.

☒

Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a statement previously submitted.

☐

A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.

☐

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	* 45	MINUS	** 30	= 15
INDEP	* 8	MINUS	*** 5	= 3
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL ENTITY

RATE	ADDIT. FEE
x\$9	\$135.00
x\$42	\$ 126.00
x\$140	\$

OR

OTHER THAN A
SMALL ENTITY

RATE	ADDIT. FEE
x\$18	\$
x\$80	\$
X\$270	\$

TOTAL
ADDIT. FEE

\$261.00

OR TOT
AL

\$

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

☒

Please charge our Deposit Account No. 13-1130 in the amount of \$261.00. A duplicate copy of this sheet is attached.

☐

A check in the amount of \$ is attached.

☒

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-1130.
A duplicate copy of this sheet is attached.

☒

Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

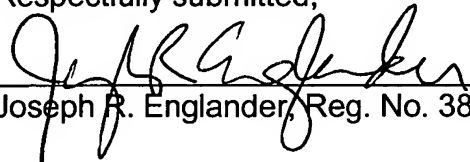
☐

Any patent application processing fees under 37 CFR 1.17.

☒

If there are any additional charges, including extensions of time, please bill our Deposit Account No. 13-1130.

Respectfully submitted,


Joseph R. Englander, Reg. No. 38,871

MALIN, HALEY & DiMAGGIO, P.A.
Customer Number 22235
1936 South Andrews Avenue
Ft. Lauderdale, FL 33316
(954) 763-3303



Serial Number: 10/709/477

Our File No. 10978.7801

CERTIFICATE OF EXPRESS MAIL

I HEREBY CERTIFY that the following correspondence; *One Preliminary Patent Amendment; One Amendment Transmittal Letter and one duplicate copy of the same; One Power of Attorney and Revocation of Prior Powers; one check in the amount of \$261.00 made payable to the Commissioner of Patents and Trademarks; and a Return Postcard for confirmation of receipt*, is being deposited with the United States Postal Service as Express Mail No. EV465821893US, addressed to: Mail Stop Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450 on this 6th day of August, 2004.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Any additional charges, including extension of time, please bill our Account No. 13-1130.

Veronica L. Corso, Paralegal

Date: August 6, 2004

Customer No. 22235
MALIN, HALEY & DiMAGGIO, P.A.
1936 South Andrews Avenue
Fort Lauderdale, FL 33316
Telephone: (954) 763-3303



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15w/JS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/709,477
Applicant : Brendan Roberts, et al.
Filed : May 7, 2004
For : Methods and Systems for
Rehabilitating and Retraining
the Neck Musculature
Art Unit : 3764
Examiner : To Be Assigned
Docket No. : 10978.7801

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

PRELIMINARY AMENDMENT

Sir:

Please amend the above-identified application as follows:

Preliminary Amendments to the Claims are reflected and which begin on page 2 of this paper.

If there are any additional charges, including extension of time, please bill our Deposit Account No. 13-1130.

Respectfully submitted,

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01 FC:2201 125.00 DP
02 FC:2202 132.00 DP
3.00 DA